



April 6, 2015

**VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, N.W.; N-1515
Washington, D.C. 20210

215
11

**RE: New York Independent System Operator
Registration Statement For "Top-Hat" Plan**

Dear Sir or Madam:

Pursuant to Department of Labor Regulations section 2520.104-23, 40 Fed. Reg. 34533 (August 15, 1975); as amended at 54 Fed. Reg. 8624 (March 1, 1989), as further amended at 67 Fed. Reg. 722, 766 (January 7, 2002); as further amended at 67 Fed. Reg. 771 (March 8, 2002); and as further amended at 68 Fed. Reg. 16399 (April, 3, 2003):

The New York Independent System Operator, Inc. (the "Company"), 10 Krey Boulevard, Rensselaer, NY 12144, Employer Identification Number (EIN) 14-1815612, hereby declares that it is an employer that maintains the following employee benefit plan, which is primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees:

- Effective October 22, 2014, the New York Independent System Operator 457(b) Plan (the "Plan"). As of the date of this letter, the Plan has approximately 12 participants. This number may increase or decrease in the future.

Pursuant to the Delinquent Filer Voluntary Compliance ("DFVC") Program procedures, the following items have also been mailed to the Department of Labor at the Philadelphia address specified in those procedures: (i) an executed Form 5500 for the Plan (prepared in accordance with those procedures); and (ii) the required \$750 payment.

To the extent maintained pursuant to written plan documents, copies of the above-referenced Plan will be provided to the Secretary of Labor or his or her delegate on request as required by Section 104(a)(1) of the Employee Retirement Income Security Act of 1974, as amended.

New York Independent System Operator, Inc.

Sincerely yours,

cc: Leslie Hansen, Esq.

ISO NEW YORK
INDEPENDENT
SYSTEM OPERATOR

10 Krey Boulevard • Rensselaer, NY 12144



7014 2120 0003 0476 6502

U.S. POSTAGE
PAID
EAST GREENBUSH, NY
PERMIT NO. 15
RFD
9549
20051007-05

RETURN RECEIPT

