



Creative Behavior Interventions

SECRETARY OF LABOR
TOP HAT PLAN EXEMPTION
EMPLOYEE BENEFITS SECURITY ADMINISTRATION
ROOM N-1513
U.S. DEPARTMENT OF LABOR
200 CONSTITUTION AVENUE NW
WASHINGTON, DC 20210

April 2, 2015

REPORTING AND DISCLOSURE STATEMENT

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the information is provided by the undersigned plan administrator as follows:

- The name of the employer is: CREATIVE BEHAVIOR INTERVENTIONS, INC.
- The mailing address of the employer is : 3002 Dow Avenue #122, Tustin, CA 92780
- The employer's federal identification number (EIN) is : 90-0583742
- The Plan of Employer: Unfunded Nonqualified Deferred Compensation Plan
- The Number of Participants covered in each plan: 3 employees

The above –named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation in the form of salary continuation benefits to a select group of management or highly compensated employees. The plan was adopted effective as of January 1, 2015. The employer will provide a copy of the agreement(s) to the Secretary of Labor upon request.

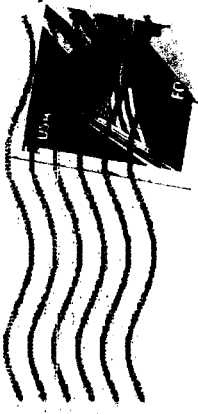
CREATIVE BEHAVIOR INTERVENTIONS, INC

By:

Margaret Mary Tran, Plan Administrator

Date: 4/3/2015

3012 Dow Ave #122
TUMM, CA 92760



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