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LEGAL SPECIALIZATION

March 3, 2015

**CERTIFIED U.S. MAIL**  
**RETURN RECEIPT REQUESTED**

2015 MAR -9 PM 17

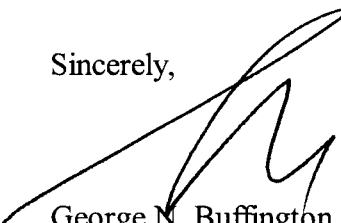
Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Ave. N.W.  
Washington, DC 20210

Re: 2015 Deferred Compensation Agreement for Royston Hanamoto Alley & Abey

Dear Sir/Madam:

Enclosed is a Statement of Compliance with 29 CFR 2520.104-23 that is being filed on behalf of Royston Hanamoto Alley & Abey for the Deferred Compensation Agreement for Royston Hanamoto Alley & Abey effective as of July 1, 2014 and signed in February 2015.

Sincerely,



George N. Buffington

GNB:amc  
Enclosure: 1029.X19  
cc: Cordelia L. Hill (via mail w/enclosure)  
1029-L.153

**STATEMENT OF COMPLIANCE WITH  
29 CFR 2520.104-23**

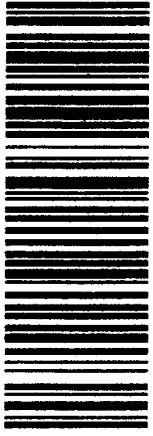
Royston Hanamoto Alley & Abey  
P.O. Box 937  
Mill Valley, CA 94941  
EIN #: 94-1649892  
Plan Name: Deferred Compensation Agreement for Royston Hanamoto Alley & Abey

Royston Hanamoto Alley & Abey, a California corporation ("Employer") maintains one unfunded deferred compensation arrangement primarily for a select group of management or highly compensated employees with seven participants. The plan was effective as of July 1, 2014 and signed in February 2015.

The Employer will provide plan documents to the Secretary of Labor on request as required by Section 104(a)(1) of the Employee Retirement Income Security Act of 1974.

DATED: March 2, 2015

1029.X19



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**CONFIDENTIAL**

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