

GRAY
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MOOTY

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SENT VIA CERTIFIED MAIL

March 2, 2015

TOP HAT PLAN EXEMPTION
EMPLOYEE BENEFITS SECURITY ADMINISTRATION
ROOM N-5644
US DEPARTMENT OF LABOR
200 CONSTITUTION AVENUE NW
WASHINGTON DC 20210

2015 MAR 11 PM 4:13
RECEIVED

RE: St. Cloud Ear, Nose & Throat – Head & Neck Clinic, P.A.
Our File No. 402366

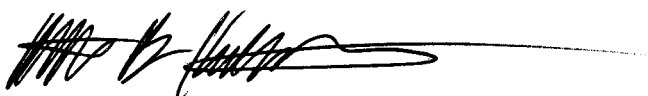
Dear Madam/Sir:

Please find enclosed an Alternate Reporting and Disclosure Statement for NonQualified Deferred Compensation Plans for a Select Group of Management or Highly Compensated Employees for St. Cloud Ear, Nose & Throat – Head & Neck Clinic, P.A.

In the event you have any questions or concerns regarding the enclosed please contact the undersigned directly.

Sincerely,

GRAY, PLANT, MOOTY,
MOOTY & BENNETT, P.A.



Steven B. Kutscheid
Attorney
SBK/nas
Enclosures

cc: St. Cloud Ear, Nose & Throat – Head & Neck Clinic, P.A. w/enclosures

**ALTERNATE REPORTING AND DISCLOSURE STATEMENT
FOR NONQUALIFIED DEFERRED COMPENSATION PLANS FOR A SELECT
GROUP OF MANAGEMENT OR HIGHLY COMPENSATED EMPLOYEES**

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. § 2520.104-23, the following information is provided by the undersigned employer.

Name and Address of Employer: St. Cloud Ear, Nose & Throat –
Head & Neck Clinic, P.A.
1528 Northway Drive
St. Cloud, MN 56303

Employer Identification Number: 41-0976026

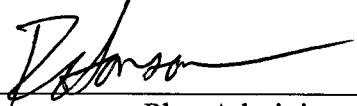
St. Cloud Ear, Nose & Throat - Head & Neck Clinic, P.A. maintains one or more plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans and Participants in Each Plan:

One (1) plan covering one (1) employee.

Dated: January 1, 2015.

St. Cloud Ear, Nose & Throat – Head &
Neck Clinic, P.A.

By 
Plan Administrator

CERTIFIED MAIL



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GRAY
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