

Adam L. Gidlow, LLC
3471 Fairmount Boulevard
Cleveland Heights, Ohio 44118

January 12, 2015

VIA CERTIFIED MAIL
(RETURN RECEIPT REQUESTED)

Top Hat Plan Exemption
Employee Benefits Security Administration
U.S. Department of Labor
Room N-1513
200 Constitution Avenue, N.W.
Washington, DC 20210

Re: Adam L. Gidlow, LLC Unit Appreciation Rights Plan
Employer: Adam L. Gidlow, LLC dba On The Rise Artisan Breads & Pastries
EIN: 34-1946122

Dear Sir or Madam:

Pursuant to the requirements of the Department of Labor Regulations, 29 CFR § 2520.104-23, enclosed please find an Alternative Reporting And Disclosure Statement for the above-captioned non-qualified deferred compensation plan for select highly compensated employees. Please file this Statement in accordance with your usual procedures and stamp and return the enclosed copy of the Statement to my attention at your earliest convenience.

Thank you in advance for your prompt attention to this matter. Should you have any questions or concerns, please feel free to contact me.

Sincerely,

ADAM L. GIDLOW dba ON THE RISE
ARTISAN BREADS & PASTRIES



Adam L. Gidlow
Member

Enclosure

**ALTERNATIVE REPORTING AND DISCLOSURE STATEMENT
FOR NON-QUALIFIED DEFERRED COMPENSATION PLANS
FOR A SELECT GROUP OF MANAGEMENT OR
HIGHLY COMPENSATED EMPLOYEES**

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. § 2520.104-23, the following information is provided by the undersigned employer:

Name and Address of Employer: Adam L. Gidlow, LLC
3471 Fairmount Boulevard
Cleveland Heights, Ohio 44118

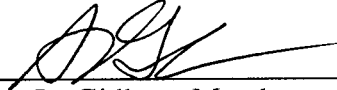
Employer Identification Number: 34-1946122

Adam L. Gidlow, LLC maintains a non-qualified deferred compensation plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans and Participants
in each Plan: One Plan covering 1 Participant(s)

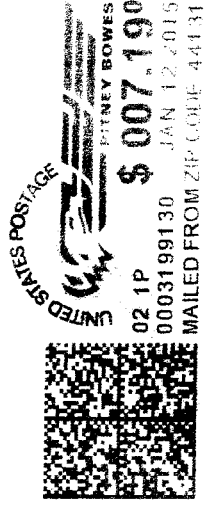
Dated: January 12, 2015

Adam L. Gidlow, LLC

By: 
Adam L. Gidlow, Member



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RETURN RECEIPT (ELECTRONIC)



First Class Mail

FROM

WEGMAN, HESSLER & VANDERBURG
A Legal Professional Association
SUITE 200
6055 ROCKSIDE WOODS BOULEVARD
CLEVELAND, OHIO 44131

To:

Top Hat Plan Exemption
Employee Benefits Security Administration
U.S. Department of Labor
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Washington, DC 20210

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