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December 11, 2014

Top Hat Plan Exemption  
Pension and Welfare Benefit Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. §2520.104-23, the undersigned employer provides the following information.

Name and Address of Employer:

W. Frank Newton, Inc.  
123 Church Street, Ste 300  
Marietta, GA 30060

Employer Identification Number: 58-1411938

W. Frank Newton, Inc. maintains a plan for the primary purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans and Participants in Each Plan: One Plan covering one (1) Participant.

This plan was adopted and became effective on October 22, 2014.

W. Frank Newton, Inc.

By 

C. Wilton Sanders, Contract Administrator  
Authorized Signor

# Sanders Legal Group

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Suite 200  
Atlanta, Georgia 30341

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