

HOPEWEST 457(B) PLAN  
TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor  
Employee Benefits Security Administration  
Top-Hat Plan Exemption  
200 Constitution Ave., NW, N-1513  
Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: HopeWest

The Employer's mailing address is: 3090 North 12th Street, Unit B  
Grand Junction, Colorado 81506

The Employer's federal identification number (EIN) is: 84-1207388

The plans of employer and the number of participants covered in each plan is:

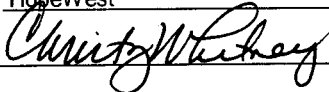
Plan Name: HopeWest 457(b) Plan

Plan Effective Date: January 1, 2014

Plan Adoption Date: December 12, 2014

Number of Participants: 4  
(specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: HopeWest  
By:   
Date: 12 31 14

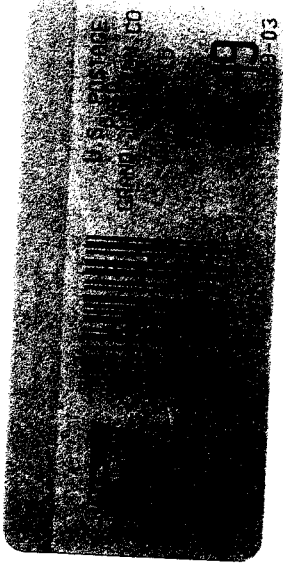
HopeWest

3090 North 12th Street, Unit B  
Grand Junction, CO 81506

CERTIFIED MAIL



7006 3450 0003 7890 0372



US DEPARTMENT OF LABOR  
EMPLOYEE BENEFITS SECURITY ADMINISTRATION  
TOP-HAT PLAN EXEMPTION  
200 CONSTITUTION AVE, NW, N-1513  
WASHINGTON, DC 20310