

SOUTH BAY WORKFORCE INVESTMENT BOARD, INC. 457(B) PLAN

TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part I, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: South Bay Workforce Investment Board, Inc.

The Employer's mailing address is: 11539 Hawthorne Blvd., 5th Floor

Hawthorne, California 90250

The Employer's federal identification number (EIN) is: 01-0611872

The plans of employer and the number of participants covered in each plan is:

Plan Name: South Bay Workforce Investment Board, Inc. 457(b) Plan & South Bay Workforce Investment Board, Inc. 457(f) Nonqualified Deferred Compensation Plan

Plan Effective Date: November 1, 2014

Plan Adoption Date: _____

Number of Participants: _____

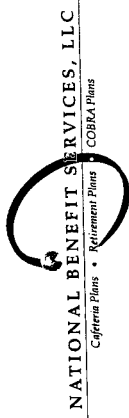
(specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: South Bay Workforce Investment Board, Inc.

By: [Signature]

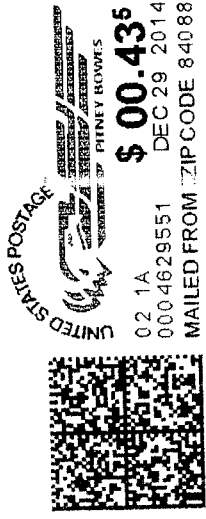
Date: 12/16/14



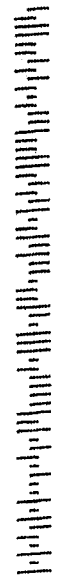
8523 South Redwood Road
West Jordan, UT 84088

RETURN SERVICE
REQUESTED

PRESORTED
FIRST CLASS



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Employee Benefits Security Administration
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Washington DC 20210



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