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FSF 457(B) PLAN

TOP-HAT PLAN EXEMPTION STATEMENT

U S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: Financial Services Forum

The Employer's mailing address is: 601 13th Street, NW Suite 750 South

Washington, District of Columbia 20005

The Employer's federal identification number (EIN) is: 52-2241644

The plans of employer and the number of participants covered in each plan is:

Plan Name: FSF 457(b) Plan

Plan Effective Date: January 1, 2014

Plan Adoption Date: September 1, 2014

Number of Participants: 1

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Financial Services Forum

By: 

Date: DEC. 11, 2014

2014-12-11 10:51:22 AM



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