

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Notice of Plan(s) of Deferred Compensation

Gentlemen:

Pursuant to DOL Reg. Sec. 2520.104-23, the undersigned Employer hereby files the following information with respect to its plan(s) of deferred compensation.

1. Name and Address of Employer:
Association of Independent Colleges and Universities in Massachusetts, Inc.

11 Beacon Street, Suite 1224

Boston, MA 02108
2. Federal Employer Identification No. (EIN):
04 - 2546632
3. The Employer maintains 2 plan(s) of deferred compensation primarily for the purpose of providing deferred compensation to a select group of management or highly-compensated employees.
4. 1 employee(s) is/are covered by such plans(s).

Very truly yours,

By: Paul J. Schertz, President

Richard Doherty
11 Beacon St, Suite 1224
Boston, MA 02108

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