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November 3, 2014

*Via Certified Mail (71603901984638289192)*

Top Hat Plan Exemption  
Employee Benefits Security Administration  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Suite 1513  
Washington, DC 20210

2014 NOV 10 PM 6  
EBSA/PUBLIC

Re: Pennsylvania Lumbermens Mutual Insurance Company; "Top Hat" Plan

Dear Sir/Madam:

On behalf of the Pennsylvania Lumbermens Mutual Insurance Company ("PLM"), please be advised that PLM has entered into an Executive Supplemental Retirement Agreement effective December 1, 2014 with its President and CEO (the "Agreement"). Including the foregoing Agreement, PLM (or its affiliate Indiana Lumbermens Mutual Insurance Company ("ILM")) maintains a total of four non-qualified deferred compensation plans. The purpose of each of these plans is to provide deferred compensation to a select group of management or highly compensated employees. On behalf of PLM and ILM, as sponsor and administrator of these plans, the following information is reported in order to fulfill the disclosure requirements for the Agreement pursuant to the Department of Labor Regulations Section 2520.104-23.

1. Name and Address of Employer:

Pennsylvania Lumbermens Mutual Insurance Company  
One Commerce Square  
2005 Market Street, Suite 1200  
Philadelphia, PA 19103

Indiana Lumbermens Mutual Insurance Company  
c/o Pennsylvania Lumbermens Mutual Insurance Company  
One Commerce Square  
2005 Market Street, Suite 1200  
Philadelphia, PA 19103

DMEAST #20183846 v1

2. Employer Identification Number

PLM: 23-0959220  
ILM: 35-0410420

3. Number of Plans

Four

4. Names of plans and number of participants in each:

Pennsylvania Lumbermens Mutual Insurance Company Executive Supplemental Retirement Agreement between PLM and John K. Smith  
Sponsored by PLM  
1 participant

Pennsylvania Lumbermens Mutual Insurance Company Supplemental Retirement Plan  
Sponsored by PLM  
4 participants

Pennsylvania Lumbermens Mutual Insurance Company Executive Deferred Compensation Plan  
Sponsored by PLM  
17 participants

Indiana Lumbermens Mutual Insurance Company Supplemental Executive Retirement Plan  
Sponsored by ILM  
2 participants

Very truly yours,



Kurt R. Anderson

KRA/bas

cc: John K. Smith, CPCU, CIC, CRM  
Eileen M. Sleutaris  
Paul E. Sells Jr., CPP MA HR

**Ballard Spahr**  
LLP

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**CERTIFIED MAIL**



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**RETURN RECEIPT REQUESTED**

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U.S. Department of Labor  
200 Constitution Avenue, NW  
Suite 1513  
Washington, DC 20210

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