



October 24, 2014

Michael E. Cary

President & C.E.O.

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
Room N-1513
200 Constitution Avenue, N.W.
Washington, D.C. 20210
Certified Mail – Return Receipt Requested

Re: Top Hat Plan Exemption

Carroll Bank & Trust hereby supplies the following information pursuant to Department of Labor Regulations Section 2520.104-23:

A. Name and Address of Employer:

Carroll Bank & Trust
19510 West Main Street
Huntington, TN 38344

B. Employer Identification Number: 62-0123520

C. Carroll Bank & Trust maintains the following plans for a select group of management or highly compensated employees:

1. Supplemental Executive Retirement Plan
Number of Participants: 4

Sincerely,

Michael E. Cary
President & CEO
Carroll Bank & Trust

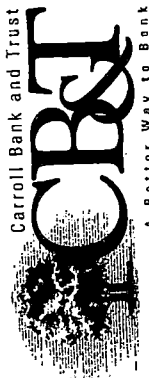
Huntingdon Office

CERTIFIED MAIL™

MEMPHIS



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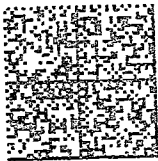
A Better Way to Bank

19510 West Main Street, Huntingdon, Tennessee 38344

U.S. POSTAGE PITNEY BOWES



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