



September 29, 2014

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, NW, N-1513
Washington, DC 20210

2014 OCT 28 PM 3:03
EBSA/PUBLIC DISCU

Re: Southwest Bank Executive Retirement Plan

Dear Sir/Madam:

In accordance with 29 CFR 2520.104-23, on behalf of Southwest Bank Executive Retirement Plan ("Plan"), we hereby provide you with the information set forth below:

Name and Address of Employer

Southwest Bank
4800 E. 42nd Street
Odessa, TX 79762

Employer's Taxpayer Identification Number

75-0749819

Required Declaration

The Southwest Bank ("Employer") sponsors the Plan, which has the effect of deferring compensation for a select group of management or highly compensated employees. Benefits are paid out of the general assets of the Employer. Currently, the Employer maintains one (1) nonqualified plan. Seven (7) employees are eligible to participate in the Plan maintained by the Employer; with all seven (7) actively participating in the Plan. The Plan's effective date is January 1, 2014, with final Plan document execution and implementation of the Plan on September 23, 2014.

If you have any questions about this matter, please contact the undersigned.

Sincerely,

Dewey Bryant
CEO

VIA CERTIFIED MAIL Return Receipt Requested

CERTIFIED MAIL™

MY DEFERRALSM

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