

PENINSULA HUMANE SOCIETY & SPCA 457(B) RETIREMENT PLAN
TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor
 Employee Benefits Security Administration
 Top-Hat Plan Exemption
 200 Constitution Ave., NW, N-1513
 Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: Peninsula Humane Society & SPCA

The Employer's mailing address is: 1450 Rollins Road
Burlingame, California 94010

The Employer's federal identification number (EIN) is: 94-1243665

The plans of employer and the number of participants covered in each plan is:

Plan Name: Peninsula Humane Society & SPCA 457(b) Retirement Plan

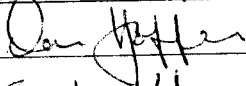
Plan Effective Date: January 1, 2014

Plan Adoption Date: 9-1-2014

Number of Participants: 11 ELIGIBLE
 (specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Peninsula Humane Society & SPCA

By: 

Date: 8-1-14



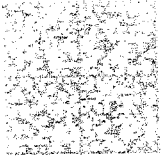
Peninsula Humane Society & SPCA

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