

August 14, 2014

**VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, N.W., N-1513
Washington, D.C. 20210

EBSA/PUBLIC AFFAIRS
2014 AUG 19 PM 14**Re: Top Hat Plan Registration Statement**

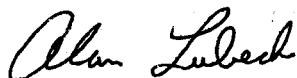
Dear Sir or Madam:

Pursuant to and in accordance with Section 2520.104-23 of the Department of Labor Regulations, this letter is being submitted to report that Broadridge Financial Solutions, Inc. (the "Company"), with its principal place of business located at 2 Journal Square Plaza, Jersey City, NJ 07306, EIN 33-1151291, has adopted the Broadridge Executive Retirement and Savings Plan (the "Plan"), effective January 1, 2015, with 204 participating employees.

The Plan is an unfunded plan maintained by the Company primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

In accordance with Section 104(a)(6) of the Employee Retirement Income Security Act of 1974, as amended, and applicable regulations, plan documents will be provided upon request.

Respectfully submitted,



Alan Lubeck, Vice President Global Benefits
On behalf of the Company

cc: David E. Rogers, McDermott Will & Emery LLP

FIRST-CLASS MAIL

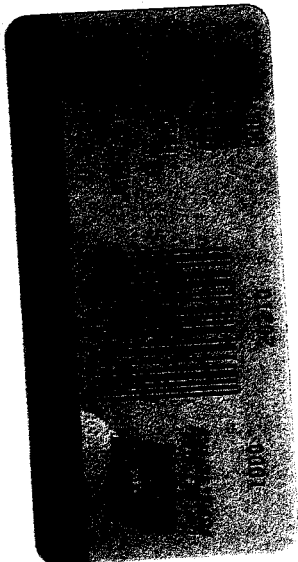
\$07.19

neopostSM
08/15/2014

US POSTAGE



ZIP 07306
041112202630



neopostSM
08/15/2014

\$02.00

US POSTAGE



ZIP 07306
041112202630

CERTIFIED MAIL



226E ETE 1000 242

To: US Dept. of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue N.W., N-1513
Washington D.C. 20210