

# Alliance

Strengthening Credit Unions Through Strategic Alliances.

9601 Jones Rd., Ste. 108, Houston, TX 77065 | ph: 832.912.2122 | fax: 832.912.6627 | www.cualliancecuso.com

January 15, 2014

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2014 AUG 19 PM 4:00  
EBSA/PUBLIC AFFAIRS

Pension and Welfare Benefits Administration Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Re: **CU Alliance, LLC**

Dear Secretary:

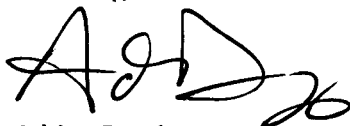
According to Department of Labor Regulations (Section 2520.104-23), this letter serves as notice that with respect to **CU Alliance, LLC non-Qualified Deferred Compensation**, the undersigned intends to use the alternative single filing form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 (ERISA), which alternative form of compliance is provided in the regulations.

We are providing the following information according to Regulations Section 2520.104-23(b):

1. Name and Address of Employer: **CU Alliance, LLC**  
**9601 Jones Rd., Ste. 108**  
**Houston, TX 77065**
2. Employers Employer Identification Number: **42-1570141**
3. The Employer hereby declares that it maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
4. The Employer hereby states that it maintains 1Plan (s) primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, and the number of employees in the Plan (s) is as follows:
  - (a) Name of Plan and Number of Employees: **Member Mutual Funds: 1 Executive**

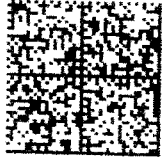
The Employer will provide Plan documents, if any, to the Secretary of Labor upon request as required by Section 104(a) (1) of ERISA.

Sincerely,



Adrian Dominguez  
President & CEO

Alliance



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