

UTICA

COLLEGE

Office of Financial Affairs

2520140934189

July 23, 2014

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: *Deferred Compensation Agreement for President Hutton*

Dear Sir or Madam:

In accordance with United States Department of Labor Regulation Section 2520.104-23(b), we hereby provide the information listed below regarding a deferred compensation agreement that Utica College established for President Todd D. Hutton on April 8, 2014.

1. Name and Address of Employer: Utica College
Attention: Tammara L. Raub
VP for Financial Affairs
1600 Burrstone Road
Utica, NY 13502-4892
2. Employer Identification Number: 16-1476258
3. Number of Top Hat Plans: 1
4. Number of Employees in Each Top Hat Plan: 1
5. Purpose of the Top Hat Plans: Utica College maintains 1 nonqualified deferred compensation arrangement primarily for the purpose of providing deferred compensation for a select group of management and highly compensated employees.

Sincerely,

UTICA COLLEGE

By: 

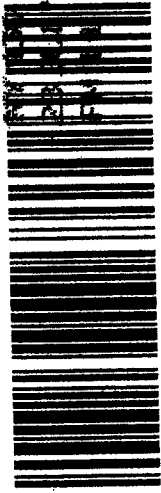
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USA/MTL/LE/RAUB



OFFICE OF FINANCIAL AFFAIRS
 1600 BURRSTONE ROAD
 UTICA, NY 13502-4892

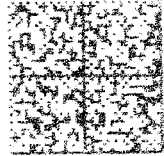
TEMP.-RETURN SERVICE REQUESTED

CERTIFIED MAIL



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