



799 North Pike Road Cabot, PA 16023 - Phone: (724) 352-1507 Fax: (724) 352-4970 www.pennunited.com

July 16, 2014

*Via First Class Mail and Certified Mail – Return Receipt Requested*

U.S. Department of Labor  
 Top Hat Plan Exemption  
 Employee Benefits Security Administration  
 Room N-1513  
 200 Constitution Avenue, NW  
 Washington, DC 20210

**Re: Top Hat Plan Statement; Penn United Technologies, Inc. (the “Company”)  
 EIN: 25-1215115**

Dear Sir or Madam:

Pursuant to Department of Labor Regulations section 2520.104-23, this letter serves as notice under said section. The information required is as follows:

1. **Name and Address of Employer:** Penn United Technologies, Inc.  
 799 North Pike Road  
 Cabot, PA 16023
2. **Employer Identification Number:** 25-1215115
3. **Declaration:** The Company has recently adopted a new plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. Benefits are paid as needed solely from the general assets of the Company.
4. **Number of Top Hat Plans Maintained:** 1
5. **Number of Employees Participating in the New Top Hat Plan:** 4 at this time

Upon the request of the Secretary of Labor, the Company will provide a copy of the plan document.

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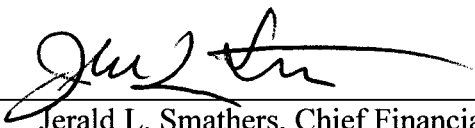
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If you have any questions regarding this matter, please do not hesitate to contact me.

Very truly yours,

Penn United Technologies, Inc.

By:   
Jerald L. Smathers, Chief Financial Officer

cc: William A. Jones, President

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**Penn United Technologies, Inc.** 799 North Pike Road Cabot, PA 18023  
Phone: (724) 362-1507 [www.pennunited.com](http://www.pennunited.com) [sales@pennunited.com](mailto:sales@pennunited.com)

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