



April 8, 2014

**VIA CERTIFIED MAIL  
RETURN RECEIPT REQUESTED**

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, Room N-1513  
Washington, D.C. 20210

2014 APR 14 PM 1:31  
EBSA/PUBLIC/110000

Dear Sir or Madam:

In accordance with Department of Labor Regulation Section 2520.104-23, the purpose of this letter is to notify you that Phoenix Children's Hospital (the "Employer"), located at 1919 East Thomas Road, Building C, Phoenix, AZ 85016 (EIN: 86-0422559), sponsors and maintains the Phoenix Children's Hospital Section 457(b) Deferred Compensation Plan (the "457(b) Plan") and the Phoenix Children's Hospital Supplemental Executive Retirement Plan (the "SERP") (collectively, the "Plans").

The Plans are unfunded plans maintained primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees. At the time of this filing, the 457(b) Plan has 262 participants and the SERP has 12 participants.

The Plans are maintained in accordance with written plan documents that will be provided to the Secretary of the Department of Labor upon request in accordance with Section 104(a)(6) of the Employee Retirement Income Security Act of 1974.

Sincerely,

*Nancy Dougherty*

Nancy Dougherty  
Director Compensation and Benefits

**CERTIFIED MAIL**

**PHOENIX  
CHILDREN'S**  
*Hospital*



7007 0220 0001 1635 0909

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, Room N-1513  
Washington, D.C. 20210