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March 27, 2014

**VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED**

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, N.W., N-1513  
Washington, D.C. 20210

2014 APR 10 PM 4:40  
EBSA/PUBLIC AFFAIRS

**Re: Notice of Plan of Deferred Compensation**

Dear Sir or Madam:

Pursuant to the U.S. Department of Labor Regulation Section 2520.104-23, and on behalf of The Western and Southern Life Insurance Company (the "Employer"), I hereby file the following information with respect to the Employer's nonqualified plan of deferred compensation.

1. Name and address of Employer:

The Western and Southern Life Insurance Company  
400 Broadway  
Cincinnati, Ohio 45202

2. Employer's Identification Number (EIN): 31-0487145

3. The Employer maintains the following nonqualified deferred compensation plan primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees:

4. Western & Southern Financial Group Long Term Incentive and Retention Plan III. Currently, this plan covers 12 members of the board of directors of the Employer.

The Employer will provide plan documents to the U.S. Secretary of Labor's representative upon request, pursuant to section 104(a)(6) of the Employee Retirement Income Security Act. Please contact the undersigned if you have any questions about this registration.

Very Truly Yours,

THE WESTERN AND SOUTHERN LIFE  
INSURANCE COMPANY

By:   
Title: Counsel



**Western & Southern  
Financial Group**

400 Broadway • Cincinnati, OH 45202-3341

V-2053-0910



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EMPLOYEE BENEFITS SECURITY ADMINISTRATION  
TOP HAT PLAN EXEMPTION  
200 CONSTITUTION AVENUE NW N-1513  
WASHINGTON DC 20210

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