



1601 N Kent Street, Suite 1100 • Arlington, VA 22209 • Tel (703) 816-8820 • Fax (703) 816-8824

March 21, 2014

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Re: Statement Pursuant to 29 C.F.R. § 2520.104-23

Ladies and Gentlemen:

This statement is filed on behalf of NumbersUSA Research & Education Foundation ("NumbersUSA") pursuant to 29 C.F.R. § 2520.104-23.

1. The name and address of the employer is:  
NumbersUSA Research & Education Foundation  
1601 N. Kent Street, Suite 1100  
Arlington, VA 22209
2. The employer's EIN is 47-0865426.
3. NumbersUSA currently maintains the NumbersUSA 457(b) Retirement Plan and the NumbersUSA 457(f) Retirement Plan. The current NumbersUSA 457(f) Retirement Plan is a successor to a prior 457(f) Plan for the same participant, that was effective September 8, 2008, but has since terminated. These Plans were established primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. Benefits under the Plans will be or were paid solely from the general assets of the employer.
4. As of the date of this statement, there is one employee participating in the NumbersUSA 457(b) Retirement Plan and the current NumbersUSA 457(f) Retirement Plan. The same employee participated in the predecessor 457(f) Plan that was effective September 8, 2008.

This letter is intended to satisfy the filing obligation under 29 C.F.R. § 2520.104-23(b) with respect to the NumbersUSA 457(b) Retirement Plan and the NumbersUSA 457(f) Retirement Plans (including the predecessor 457(f) Plan that was effective September 8, 2008). If this letter is insufficient to satisfy that filing obligation, please notify the undersigned at the employer's address.

Sincerely,

A handwritten signature in black ink, appearing to read "C. Gary Gerst". The signature is written in a cursive style with a large initial "C" and "G".

**C. Gary Gerst**  
**Chairman of the Board of Directors, NumbersUSA Education & Research Foundation**



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