



NYIA[®]

New York Insurance Association, Inc.

2520140933052

March 17, 2014

Top Hat Plan Exemption
Employee Benefits Security Administration Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

To Whom It May Concern:

The undersigned declares that the employer described below maintains the following plan(s) primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

In compliance with Labor Regulation Section 2520.104-23 the undersigned provides the following information with respect to the plan(s):

Employer: New York Insurance Association
Employer Name: New York Insurance Association
Address: 130 Washington Avenue, Albany, NY 12210
EIN#: 16-1521843

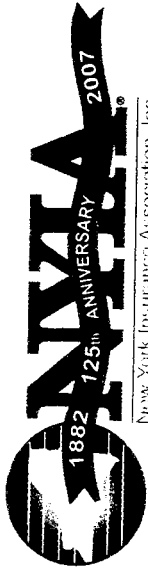
Name of Plan: New York Insurance Association
Deferred Compensation Plan

Number of Plans: 002

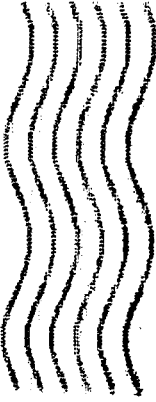
Number Employees in Plan: 1

Very truly yours,

Plan Administrator



130 Washington Avenue • Albany, NY 12210



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