


Chadwick-BaRoss

To: Top Hat Plan Exemption
Employee Benefits Security Administration
Room N 1513
U.S. Department of Labor
200 Constitution Ave.
Washington, D.C. 20210

2014 JAN 22 9:15

Re: Alternative Reporting and Disclosure Statement for NonQualified Deferred Compensation Plans

Dear Sir or Madam:

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for un-funded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 CFR Sec. 2520.104-23, the following information is provided by the undersigned administrator:

1. The name of the employer is: Chadwick-Baross, Inc.
2. The mailing address of the employer is: 160 Warren Ave.
Westbrook, ME 04092
3. The Employer Identification Number is: 01-0143440
4. The above named Employer maintains a Plan (or Plans) primarily for the purpose of providing deferred compensation benefits for a select group of management or highly compensated employees.
5. Number of Plans and Eligible Employees in each Plan:
One Plan covering one Eligible Employee.
6. The Employer will provide a copy of the agreement(s) to the office of Pension and Welfare Benefit Program upon request.

Chadwick-Baross, Inc.
A Maine Corporation

By: 
Authorized Person

ROBERT H. E. DEBURCH
DIRECTOR

Dated: December 26th, 2013

WILLIAM A. MCCUE
ATTORNEY AT LAW
97A Exchange St, Suite 402
Portland, ME 04101

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS. FOLD AT DOTTED LINE
CERTIFIED MAILTM



7013 2250 0001 7512 6703



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