



B. David Joffe  
Direct: 615-252-2368  
Fax: 615-252-6368  
djoffe@babc.com

March 14, 2014

**Via FedEx**

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, N-1513  
Washington, DC 20210

**Re: Alternative Compliance Statement of Troy Bank & Trust Company**

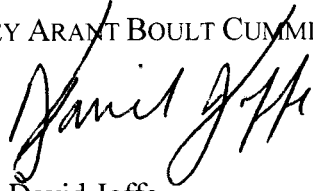
Dear Sir or Madam:

On behalf of Troy Bank & Trust Company, we are submitting herewith an alternative compliance statement pursuant to 29 C.F.R. §2520.104-23.

Please direct any communications regarding this matter to the undersigned.

Yours truly,

BRADLEY ARANT BOULT CUMMINGS LLP

By:   
B. David Joffe

BDJ/mb  
Enclosures

cc: Paul Compton, Jr., Esq. (Firm) (via e-mail) (w/encls.)  
Marc Bussone, Esq. (Firm) (via e-mail) (w/encls.)

**ALTERNATIVE COMPLIANCE STATEMENT  
PURSUANT TO 29 C.F.R. § 2520.104-23**

The following is provided to the Department of Labor pursuant to the provisions of 29 C.F.R. § 2520.104-23:

A. Name and Address of Employer:

Troy Bank & Trust Company  
1000 US Hwy 231 South  
Troy, AL 36081

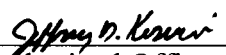
B. Employer Identification Number of Employer:

63-0212370

C. Required Statement: Troy Bank and Trust Company maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

D. Number of Such Plans: 1

E. Number of Employees in Such Plan: 4

  
\_\_\_\_\_  
Authorized Officer

From: (615) 244-2582  
B. David Joffe  
Bradley Arant Boult Cummings LLP  
1600 Division Street  
Suite 700  
Nashville, TN 37203

Origin ID: RNCA



J141014020703uv

Ship Date: 14MAR14  
ActWgt: 0.5 LB  
CAD: 103944845/W SXI2750

Delivery Address Bar Code



SHIP TO: (615) 252-2368  
**U.S. Department of Labor**  
**Employee Benefits Security Admin**  
**200 Constitution Ave., NW, N-1513**

**BILL SENDER**

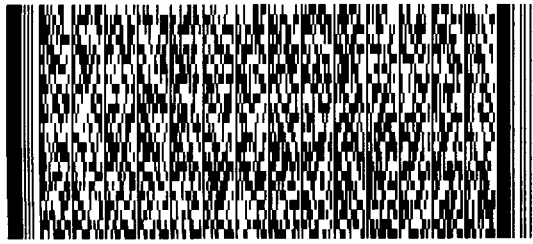
**Washington, DC 20210**

Ref # 0T7401-039036  
Invoice #  
PO #  
Dept #

RELEASE#: 3785346

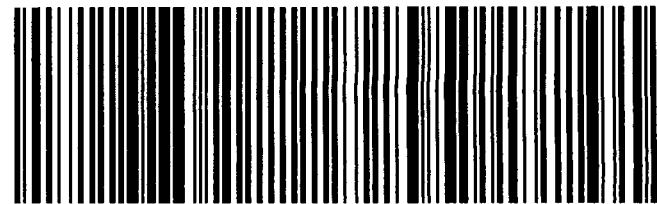
**MON - 17 MAR 10:30A**  
**PRIORITY OVERNIGHT**

TRK# 7982 2512 3402  
0201



**XC TSGA**

**20210**  
DC-US  
IAD



FOLD on this line and place in shipping pouch with bar code and delivery address visible

1. Fold the first printed page in half and use as the shipping label.
2. Place the label in a waybill pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.
3. Keep the second page as a receipt for your records. The receipt contains the terms and conditions of shipping and information useful for tracking your package.