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# COLUMBIA UNIVERSITY

IN THE CITY OF NEW YORK

HUMAN RESOURCES

February 17, 2014

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, N-1513  
Washington, DC 20210

Re: Columbia University 457(b) Deferred Compensation Plan  
EIN: 13-5598093

2014 FEB 10 PM 3:10

Dear Sir or Madam:

This statement informs you that Columbia University established the Columbia University 457(b) Deferred Compensation Plan (the "Plan") effective January 1, 2007, primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. At the present time there are approximately 1,263 employees who are eligible for participation in the Plan.

This statement is filed in accordance with the requirements set forth for the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of ERISA in Department of Labor Regulations Section 2520.104-23 and under the Delinquent Filer Voluntary Compliance Program.

Very Truly Yours,



Lou Bellardine  
Vice President, Human Resources  
Columbia University

BENEFITS

**COLUMBIA UNIVERSITY**  
IN THE CITY OF NEW YORK

NEW YORK, NY 10027  
HUMAN RESOURCES, RETIREMENT BENEFITS  
615 WEST 131ST STREET  
STUDEBAKER, 4TH FLOOR, MC 8705

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ATP-S3B 20210

