

BASTYR UNIVERSITY

February 20, 2014

Top-Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

2014 FEB 26 10:12 AM
U.S. DEPARTMENT OF LABOR

RE: Top Hat Declaration Statement for Bastyr University

Dear Sir/Madam:

See below for information regarding the Top-Hat Declaration Statement for Bastyr University. The statement is being filed with the Department of Labor within 120 days following the adoption of a plan revision.

Name of Employer: Bastyr University
Address: 14500 Juanita Drive NE
Kenmore, Washington 98028
E.I.N. 91-1036794
Submitted by: Bastyr University (plan administrator)

Bastyr University, hereby declares that the purpose of the 457(b) Deferred Compensation Plan of Bastyr University is to provide deferred compensation primarily for a select group of senior management or highly compensation employees. The number of employees covered under the plan is 4 (four). In addition, the employer maintains unfunded top-hat plans described in Department of Labor Regulation Section 2520.104-23(b).

Date: February 20, 2014

By: Keith W. Woody

Printed Name: Keith W. Woody

Title: Executive Director - HR + IT

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