

2520130092812

TOP-HAT PLAN EXEMPTION STATEMENT¹

Top-Hat Plan Exemption
 Pension and Welfare Benefits Administration
 Room N-1513
 U.S. Department of Labor
 200 Constitution Avenue, NW
 Washington, D.C. 20210

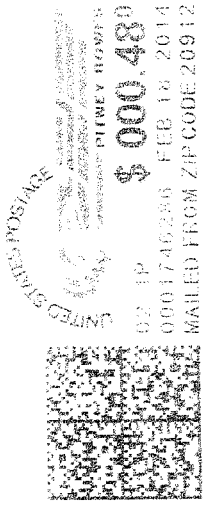
Employer Name: The Forum for Youth Investments
 Address: 7064 Eastern Ave. NW, Washington, DC 20904
 Employer EIN: 52-2242472
 Name of Plan:² The Forum for Youth Investments 457(b) Plan
 The Plan is maintained for a select group of management or highly compensated employees.
 Number of Plans: 1
 Number of Employees in Plan(s): 1

¹ A tax-exempt organization must maintain a 457 plan as a "top-hat plan" within the meaning of ERISA to avoid application of certain ERISA provisions that are inconsistent with the requirements of Code §457. The employer must file this statement to exempt the top-hat plan from ERISA Title I reporting and disclosure requirements. The employer must submit this statement to the DOL no later than 120 days after the plan becomes subject to Part 1 of Title 1 of ERISA, DOL Reg. §2520.104-23(b). A plan generally becomes subject to Part 1 of the Title 1 of ERISA on the later of the date of adoption or the effective date of the plan. See DOL Reg. §2520.104b-2(a)(3). A governmental 457 plan is not subject to ERISA and need not file this statement.

² See DOL Reg. §2520.104.23. Although the regulations do not require the name of the plan, the employer could include the plan name.

**the
forum**
FOR YOUTH INVESTMENT

The Cady Lee House
7064 Eastern Avenue, NW
Washington, DC 20012
Phone: 202.207.3333
Fax: 202.207.3329



Top Hat Plan Exemption
Pw BA Room N-1513
200 Constitution Ave NW
Washington DC 20210

