



50 E. RiverCenter Blvd.
Suite 300
Covington, KY 41011

859.392.8600
859.392.8603 fax

333 West Vine Street
Suite 1640
Lexington, KY 40507

859.967.0999
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www.mcfadvisors.com

January 22, 2014

VIA CERTIFIED MAIL

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

2014 FEB 12 11:30

Re: Top Hat Plan Exemption Filing

Dear Sir/Madam:

MCF Advisors, LLC ("Employer") has adopted a plan of non-qualified deferred compensation for the benefit of a select group of management or highly compensated employees. Information relative to the Plan is as follows:

1. Employer Name: MCF Advisors, LLC
2. Employer Address: 333 W. Vine Street, Suite 1640
Lexington, KY 40507
3. Employer EIN: 20-0566061
4. Declaration: The Employer has adopted the MCF Advisors, LLC Long Term Incentive Plan ("Plan"), which is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
5. Number of Plans: 1
6. Number of Participants in the Plan: 1
7. Documents regarding the Plan will be provided to the Department of Labor upon request, as required by Section 104(a)(6) of the Employee Retirement Income Security Act of 1974 ("ERISA").
8. The Plan was adopted effective December 31, 2013 and this statement is being filed within 120 days after the Plan becomes subject to part 1 of ERISA.



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9. This statement is being provided as the alternative method of compliance with the reporting and disclosure requirements of part 1 of title I of ERISA, pursuant to DOL Reg. § 2520.104-23.

Further information or requests for information should be forwarded to counsel for the Employer at the following address:

Brian A. Ritchie
Wallingford Law, PSC
3141 Beaumont Centre Circle, Suite 302
Lexington, KY 40513
Phone: (859) 219-0066
Facsimile: (859) 219-0077
e-mail: brian@wallingfordlaw.com

Very truly yours,

MCF Advisors, LLC

By: 

David L. Harris, Jr.



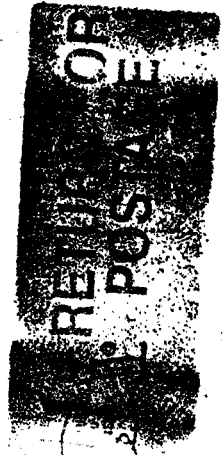
WALLINGFORD LAW
PSC

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CERTIFIED MAIL



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