

ROBINSON & COLE LLP

REGISTRATION STATE

2011 JAN 23 PM 12: 23

BRUCE B. BARTH

280 Trumbull Street  
 Hartford, CT 06103-3597  
 Main (860) 275-8200  
 Fax (860) 275-8299  
 bbarth@rc.com  
 Direct (860) 275-8267

January 13, 2014

Top Hat Plan Exemption  
 Employee Benefits Security Administration  
 Room N-5644  
 U.S. Department of Labor  
 200 Constitution Avenue, NW  
 Washington, DC 20210

**Re: Employer: Hope Global, Division of NFA Corp.**  
**EIN: 04-2748881**  
**Plans: Hope Global Deferred Compensation Plan**

Dear Sir/Madam:

This letter is intended to meet the alternate form of compliance to the reporting and disclosure requirements of the Employee Retirement Income Security Act of 1974, as set forth at 29 C.F.R. § 2520.104-23, for pension plans for a select group of management or highly compensated employees.

Hope Global, division of NFA Corp. adopted the Hope Global Deferred Compensation Plan effective February 1, 2014, primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

This is the only Plan of this type sponsored by Hope Global and the Plan currently covers ten employees.

Sincerely,



Bruce B. Barth

cc: Dorothy Mattiello



Law Offices

BOSTON

PROVIDENCE

HARTFORD

NEW LONDON

STAMFORD

WHITE PLAINS

NEW YORK CITY

ALBANY

SARASOTA

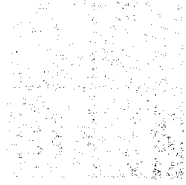
www.rc.com

12682232-v1

BBB



**ROBINSON & COLE** LLP  
280 TRUMBULL STREET, HARTFORD, CT 06103



Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, DC 20210

20210

