



The New York
Academy of Medicine

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New York, NY 10029
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January 16, 2014

01/16/2014

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Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: The New York Academy of Medicine 457(f) Deferred Compensation Plan

Dear Sir or Madam:

Pursuant to Section 2520.104-23 of the Department of Labor's Regulations, this letter will serve as notice that with respect to the New York Academy of Medicine 457(f) Deferred Compensation Plan, the undersigned intends to utilize the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), which alternative form of compliance is provided in the aforesaid Regulations Section.

Pursuant to Regulations Section 2520.104-23(b), the following information is provided:

1. Name and Address of Employer: The New York Academy of Medicine, 1216 Fifth Ave, New York, NY 10029;
2. Employer's Employer Identification Number: 13-1656674;
3. The Employer hereby declares that it maintains the Plan primarily for the purpose of providing deferred compensation for select management or highly compensated employees within the meaning of Sections 201(2), 301(a)(3) and 401(a) of ERISA. Effective January 1, 2014, there will be one (1) employee participating in the Plan; and
4. The Employer hereby states that as of the date of this letter, it maintains no other plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Pursuant to Regulations Section 2520.104-23(b)(2), the Employer will provide Plan documents, if any, to the Secretary of Labor upon request as required by Section 104(a)(6) of ERISA.

Very truly yours,

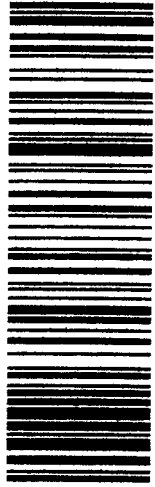
Kathleen O'Donnell
Senior Vice President
The New York Academy of Medicine



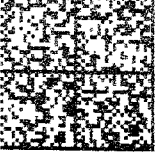
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PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS. HOLD AT DOTTED LINE

CERTIFIED MAIL



91 7108 2133 3934 4179 0870



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