



Heat Transfer Research, Inc.

2520130092630

January 10, 2014

Heat Transfer Research, Inc.
150 Venture Drive
College Station, TX 77845

Via Return/Receipt Mail

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

Re: Unfunded Top Hat Plan
Reporting Under Part 1 Title I of the Employee Retirement Income Security Act

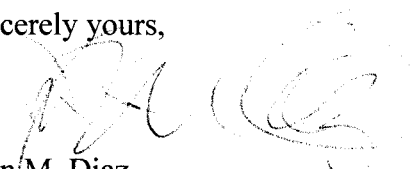
Dear Sir/Madam:

This statement is filed under Department of Labor Regulation 2520.104-23 in accordance with the alternative form of compliance with the reporting and disclosure requirements of Part 1 Title I of the Employee Retirement Income Security Act.

- The employer is Heat Transfer Research, Inc., 150 Venture Drive, College Station, TX 77845.
- The Internal Revenue Service Employer Identification Number is 73-0723287.
- Heat Transfer Research, Inc. maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
- Heat Transfer Research, Inc. maintains one such plan.
- The plan covers (5) employees.

Enclosed is a second copy of this letter. Please acknowledge receipt of this submission by stamping and time dating the copy and returning it to the Plan Administrator in the enclosed stamped self-addressed envelope. Thank you.

Sincerely yours,


Juan M. Diaz
Director, Finance

Enclosure(s)





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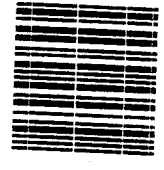
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Heat Transfer Research, Inc.

150 Venture Drive
College Station, Texas 77845
USA



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COLL

**RETURN RECEIPT
REQUESTED**

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