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January 7, 2014

Top Hat Plan Exemption
Employee Benefit Security Administration, Room N-1513
U.S. Department of Labor
200 Constitution Avenue Northwest
Washington, District of Columbia 20210

In accordance with the Employee Retirement Income Security Act of 1974 (ERISA) Section 110, and ERISA Regulations 2520.104-23, we are submitting the following information as an alternative method of compliance with the reporting and disclosure requirements of Part 1, Title 1, of ERISA, for an unfunded Plan maintained by the employer as follows:


GI Holdings, Incorporated
FEIN: 27-1207309
1400 East Columbia Street
Evansville, Indiana 47711

The above-named employer maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

The above-named employer maintains one Plan that covers two management or highly compensated employees.

As required by Section 104(a)(1) of ERISA, the employer will provide Plan Documents to the Secretary of Labor upon request.

GI HOLDINGS, INCORPORATED



Jim Gribbins



2014 JAN 13 10 35 AM '14

January 13, 2014

Via Certified Mail/Return Receipt Requested

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Disclosure regarding the Jeniel, LLC Phantom Equity Bonus Agreement

Dear Sir or Madam:

In accordance with Department of Labor Regulation §2520.104-23, I am filing the following information on behalf of the plan administrator for the Jeniel, LLC Phantom Equity Bonus Agreement:

1. Name and Address of Employer: Jeniel, LLC
8611 Woodmere Crossing Lane
Charlotte, NC 28226
2. Employer's Employer Identification Number: 20-2521072
3. Number of Plans to which Statement Relates: One
4. Declaration: The Employer maintains the plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees of the Employer.
5. Number of Employees Initially Covered By Plan: One

Upon request by the Secretary of the Department of Labor, the Employer will provide documents for the above-listed plan, as required under § 104(a)(6) of the Employee Retirement Income Security Act of 1974, as amended.

Sincerely,

By: 

Title: Manager

