



**SFE&G**

Steiker, Fischer, Edwards & Greenapple, P.C.

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January 6, 2014

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, DC 20210

RE: OKEE Industries, Inc. Stock Appreciation Rights Plan

To Whom It May Concern:

Pursuant to Reg. Section 2520.104-23, OKEE Industries, Inc. hereby files the following statement to establish an alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title 1 of the Employee Retirement Income Security Act of 1974, as amended, with respect to the above unfunded Plan:

1. Name and address of Employer:  
OKEE Industries, Inc.  
91 Shield St.  
West Hartford, CT 06110
2. Employer Identification Number of Employer:  
06-0626884
3. OKEE Industries, Inc. adopted the OKEE Industries, Inc. Stock Appreciation Rights Plan on December 30, 2013. The Stock Appreciation Rights Plan is currently intended to cover four participants. OKEE Industries, Inc. does not maintain any other plan for the purpose of providing deferred compensation for a select group of management or highly compensated employees

If you have any questions or need any further information, please contact the undersigned.

Very truly yours,

Steiker, Fischer, Edwards & Greenapple, P.C.

Tabitha M. Croscut, Esq.

TMC:bmw

Cc: Mr. Sean O'Keefe (via electronic copy)



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