



UNIVERSITY OF
RIO GRANDE
& RIO GRANDE COMMUNITY COLLEGE

2014 JAN 14 AM 10:00
PUBLIC RELEASE

January 3, 2014

Top Hat Plan Exemption
Employee Benefit Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, DC 20210

RE: "Top Hat" Plan Filing

To Whom It May Concern:

In compliance with Labor Regulations Section 2520.104-23, the following information is provided to satisfy the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"):

1. The employer which sponsors the plan is as follows:

University of Rio Grande
218 North College Avenue
Rio Grande, OH 45674
EIN: 31-4379537

2. The above-referenced employer maintains one (1) plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, which covers three (3) employees of the employer.
3. The plan document will be provided upon request as required by ERISA Section 104(a)(6).

If you need any additional information, please feel free to contact me.

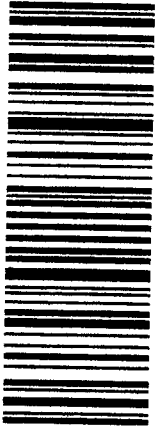
Sincerely,

Paul Harrison
Executive Vice-President &
Vice-President for Institutional Advancement

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