

December 31, 2013

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VIA CERTIFIED MAIL

Top Hat Plan Exemption
Employee Benefits Security Administration, Rm. N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

RE: Causeway Advisory Group, LLC Top Hat Plan Filing

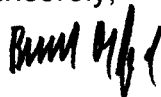
Dear Sir or Madam:

Pursuant to Department of Labor Regulation §2520.104-23, this statement is being filed on behalf of Causeway Advisory Group, LLC to inform the Secretary of the Department of Labor that Causeway Advisory Group, LLC, EIN 27-4677404, whose principal address is: 14414 Blanco Road, Suite 200, San Antonio, Texas 78216, has adopted the following unfunded plan of deferred compensation, primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees:

Causeway Advisory Group, LLC Deferred Compensation Plan. The Plan covers three (3) employees and was adopted on December 1, 2013.

Thank you for your attention to this matter. Please do not hesitate to contact me if you have any questions.

Sincerely,



Brad Oxford

RBO/jmp

cc: Mr. Rick Moore

Strasburger & Price, LLP

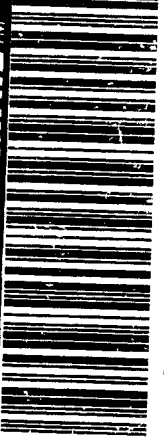
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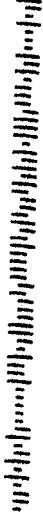
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RBO
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