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Admitted in: VA, MD & DC

December 27, 2013

**VIA CERTIFIED MAIL, RETURN RECEIPT
REQUESTED, NO. 7011 1570 0003 0310 0915**

Secretary of Labor
Top-Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Re: Thompson, Greenspon & Co.
Executive Retirement Plan
Deferred Compensation Plan

Dear Secretary:

This letter serves as notice under section 2520.104-23 of the Department of Labor's Regulations that, with respect to the Thompson, Greenspon & Co. Executive Retirement Plan (the "ER Plan") and the Thompson, Greenspon & Co. Deferred Compensation Plan (the "DC Plan"), Thompson, Greenspon & Co. (the "Employer") intends to use the alternative form of compliance regarding the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 ("ERISA").

Under Regulations section 2520.104-23(b), the following information is provided:

1. The Employer's name and address:

Thompson, Greenspon & Co.
4035 Ridge Top Road, Suite 700
Fairfax, VA 22030

1775 Wiehle Avenue, Suite 400, Reston, VA 20190 **Phone** 703-218-2100 **Fax** 703-218-2160

www.ofplaw.com

2. The Employer's employer identification number: 54-1029635.
3. The Employer declares that it maintains the ER Plan and the DC Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
4. The Employer states that it maintains 4 such plans – the ER Plan and the DC Plan and a predecessor plan of each – described in Regulations Section 2520.104-23(d). As of this letter's date, the number of employees in the ER Plan are 2, the number of employees in the DC Plan are 2, who are the same 2 employees in the ER Plan, and there are 7 employees in each predecessor plan (the same 7 employees participate in each predecessor plan).

Under Regulations section 2520.104-23(b)(2), the Employer will provide plan documents, if any, to the Secretary of Labor upon request as required by section 104(a)(1) of ERISA.

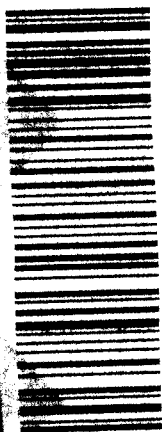
Very truly yours,

Handwritten signature of Eric Horvitz in black ink, with the initials 'DEI' written at the end of the signature.

Eric Franklin Horvitz

cc: Charles Helme, CPA (via email)
#2270740v2

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