

THE COMMISSION ON ECONOMIC OPPORTUNITY
FOR THE GREATER CAPITAL REGION INC.
457(B) PLAN

2013 DEC 31 AM 10:13

TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: The Commission on Economic Opportunity for the Greater Capital Region Inc.

The Employer's mailing address is: 2331 Fifth Avenue
Troy, New York 12180

The Employer's federal identification number (EIN) is: 14-1490509

The plans of employer and the number of participants covered in each plan is:
Plan Name: The Commission on Economic Opportunity for the Greater Capital Region Inc. 457(b) Plan

Plan Effective Date: January 1, 2013

Plan Adoption Date: 11/26/13

Number of Participants: 457 (B) 11-26-13 - President and vice president.
(specify plan, effective date and number of employees covered)

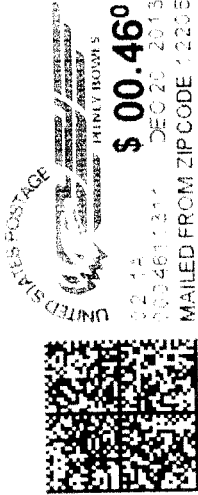
The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: The Commission on Economic Opportunity for the Greater Capital Region Inc.

By: *Katherine Merial*

Date: 12/19/13

**BST Retirement Services, LLP
26 Computer Drive West
Albany, New York 12205**



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