



*charles*  
SCHWAB

Lynda M. Ruiz  
Office of Corporate Counsel  
211 Main Street  
San Francisco, CA 94105  
Tel 415-667-0955

**BY UPS**

December 16, 2013

Top Hat Plan Exemption  
Employee Benefits Security Administration, Rm. N-1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, D.C. 20210

Re: The Charles Schwab Corporation Financial Consultant Career Achievement Award Program  
- Top Hat Plan Filing

Dear Sir or Madam:

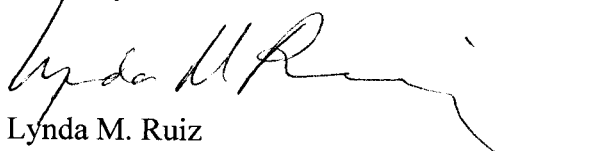
Pursuant to Department of Labor Regulation § 2520.104-23 and in satisfaction of the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974, as amended, this statement is being filed on behalf of The Charles Schwab Corporation to inform the Secretary of the Department of Labor that The Charles Schwab Corporation (EIN 94-3025021), whose principal address is:

211 Main Street  
San Francisco, CA 94105

has adopted the following unfunded plan(s) of deferred compensation primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees:

The Charles Schwab Corporation Financial Consultant Career Achievement Award Program: 601 Employees.

Sincerely,



Lynda M. Ruiz  
Vice President and Associate General Counsel

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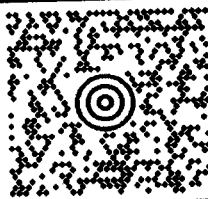
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HEIDI CATAPUSAN  
415-667-0545  
CHARLES SCHWAB & CO., INC.  
211 MAIN STREET  
SAN FRANCISCO CA 94105

0.0 LBS LTR 1 OF 1

**SHIP TO:**

EMPLOYEE BENEFITS SECURITY ADMIN.,  
TOP HAT PLAN EXEMPTION  
200 CONSTITUTION AVENUE NW  
U.S. DEPARTMENT OF LABOR  
RM. N-1513  
WASHINGTON DC 20210-0001



MD 201 9-78



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Reference#1: Top Hat Plan Exemption  
Reference#2: W69

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