

H.W. Kaufman Financial Group, Inc.
Kaufman Financial Center, Suite 220
30833 Northwestern Highway
Farmington Hills, Michigan 48334
248-702-1337

December 1, 2013

**BY CERTIFIED MAIL,
RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

**Re: H.W. Kaufman Financial Group, Inc.
Top Hat Plan Statement Under U.S. Department of
Labor Regulation section 2520.104-23**

Ladies and Gentlemen:

H.W. Kaufman Financial Group, Inc., with its principal offices located at Kaufman Financial Center, Suite 220, 30833 Northwestern Highway, Farmington Hills, Michigan 48334, employer identification number 38-1903339, submits this notice pursuant to U.S. Department of Labor Regulation section 2520.104-23 in satisfaction of the reporting and disclosure requirements of Part I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA").

Please be advised that H.W. Kaufman Financial Group, Inc. has adopted the H.W. Kaufman Financial Group, Inc. Long-Term Incentive Plan ("Plan") for the purpose of providing deferred compensation for a select group of management or highly compensated employees. Benefits under the Plan will be paid (1) from the general assets of H.W. Kaufman Financial Group, Inc., (or from the general assets of other related employers participating in the Plan)¹ or (2) from employer-owned insurance policies or contracts issued by a qualified insurance company (the premiums for which are paid directly by H.W. Kaufman Financial Group, Inc. from its general assets or from the general assets of other related employers participating in the Plan) or (3) from both. The Plan benefits five management or highly compensated employees as of this date.

Plan documents will be provided upon request as required by section 104(a)(6) of ERISA. If you have any questions regarding this matter, please contact me.

Regards,



Daniel T. Muldowney

¹ Please see the attached Appendix for the list of participating employers in the Plan.

APPENDIX TO THE
H.W. KAUFMAN FINANCIAL GROUP, INC.
TOP HAT PLAN STATEMENT UNDER
U.S. DEPARTMENT OF LABOR
REGULATION SECTION 2520.104-23

Participating Employers in the
H.W. Kaufman Financial Group, Inc.
Long-Term Incentive Plan¹

Employer Identification Number

H.W. Kaufman Financial Group, Inc.	38-1903339
Burns & Wilcox, Ltd.	38-1804059
R.B. Jones, Inc.	36-2429039
Minuteman Adjusters, Inc.	38-2997493
Royal Premium Budget, Inc.	38-2918709
Burns & Wilcox, Re, Inc.	38-3494120
US-Reports, Inc.	75-3085812
Global Excess Partners, LLC	46-2325144
Attain Specialty Insurance Company	23-0597040

¹ All of the participating employers are members of the same controlled group pursuant to Internal Revenue Code Sections 414(b) and (c) and corresponding U.S. Department of Treasury regulations. Any communications can be transmitted to them at the principal address of H.W. Kaufman Financial Group, Inc.



Burns & Wilcox

Accounting Department

Mailing Address:

P.O. Box 2310

Southfield, MI 48037-2310

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Washington, D.C. 20210

