

QUEEN LILI'UOKALANI TRUST 457(B) PLAN
TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, D.C. 20210

2013 SEP 21 11:10:11
U.S. DEPARTMENT OF LABOR

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: Queen Lili'uokalani Trust

The Employer's mailing address is: 1300 Halona Street
Honolulu, Hawaii 96817

The Employer's federal identification number (EIN) is: 99-0078890

The plans of employer and the number of participants covered in each plan is:

Plan Name: Queen Lili'uokalani Trust 457(b) Plan

Plan Effective Date: August 1, 2013

Plan Adoption Date: August 21, 2013

Number of Participants: 5
(specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Queen Lili'uokalani Trust

By: Thomas K. Kaulukukui, Jr.


Date: August 21, 2013

CERTIFIED MAIL

QUEEN LILI'UOKALANI
CHILDREN'S CENTER
LILI'UOKALANI TRUST

MAIN OFFICE
1300 HALONA STREET
HONOLULU, HI 96817



7008 0150 0002 6460 1598

U.S. POSTAGE
PAID
HONOLULU, HI
NOV 14, 13
AMOUNT

\$6.11

00024888-04



20210

**RETURN RECEIPT
REQUESTED**

U.S. Dept of Labor / Employee Benefits Security
Admin
Top-Hat Plan Exemption
200 Constitution Ave, NW, N-1513
Washington, DC 20210