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Harter Secrest & Emery LLP

ATTORNEYS AND COUNSELORS

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NOV 20 2013

November 15, 2013

VIA: CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: American Express Company
Top Hat Plan Exemption

Dear Sir or Madam:

On behalf of our client, American Express Company, we are hereby supplying the following information pursuant to Section 2520.104-23 of the Department of Labor Regulations:

1. Name and Address of Employer:

American Express Company
American Express Tower
200 Vesey Street, Mailcode 01-35-11
New York, NY 10285-4910

2. Employer EIN: 13-4922250

3. American Express Company maintains and administers the following plan for a select group of management or highly compensated employees:

American Express Senior Executive Severance Plan

Number of Participants: approximately 170

Upon request, American Express Company will provide a copy of the relevant plan document(s).

Harter Secrest & Emery LLP
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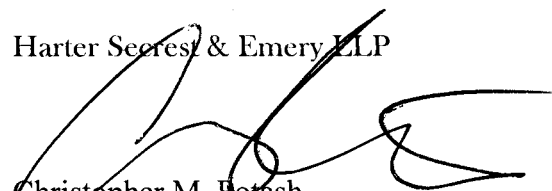
Top Hat Plan Exemption
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Please acknowledge your receipt of this statement by time-stamping the enclosed copy of this letter and returning it to us in the envelope provided.

Please contact me if you require additional information.

Very truly yours,

Harter Secrest & Emery LLP



Christopher M. Potash

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E-MAIL: CPOTASH@HSELAW.COM

CMP:ple
Enclosure



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ATTORNEYS AND COUNSELORS

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