

**ALTERNATIVE COMPLIANCE STATEMENT
PURSUANT TO 29 C.F.R. § 2520.104-23**

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Exemption
200 Constitution Avenue, NW, N-1513
Washington, D.C. 20210

The following is provided to the Department of Labor pursuant to the provisions of 29 C.F.R. § 2520.104-23:

A. Name and Address of Employer:

CFD Research Corporation
215 Wynn Dr., N.W.
Huntsville, AL 35806

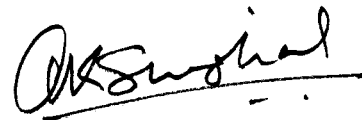
B. Employer Identification Number of Employer:

63-0944385

C. Required Statement: The company has established a stock appreciation rights plan, an arrangement primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

D. Number of Such Plans: 1

E. Number of Employees in Such Plan: 10



Authorized Officer

Dated: 9/9/13

CFD Research Corporation
215 Wynn Drive
Huntsville, Alabama 35805

POSTAGE WILL BE PAID BY ADDRESSEE
FIRST CLASS PERMIT NO. 100 HUNTSVILLE AL



U.S. Dept. of Labor
Employee Benefits Security Admin
Top Hat Exemption
300 Constitution Ave, NW, N-1513
Washington DC 20301

