



National Center for Appropriate Technology

Working for a Sustainable Future 2520130091952

NCAT 3040 Continental Drive • P.O. Box 3838 • Butte, MT 59702 • (406)494-4572 • FAX (406)494-2905 • www.ncat.org

TOP HAT EXEMPTION STATEMENT

September 30, 2013

2013 OCT -4 PM 2: 2520130091952

Top Hat Plan Exemption  
Employee Benefits Security Administration, Room N-1513  
U.S. Department of Labor  
200 Constitution Ave., NW  
Washington, DC 20210

To Whom It May Concern:

The undersigned declares that the employer described below maintains the following plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

In compliance with Labor Reg. §2520.104-23 the undersigned provides the following information with respect to the plan:

Employer:

Employer Name: The National Center for Appropriate Technology (NCAT)  
Address: 3040 Continental Dr.  
Butte, MT 59701-4506  
EIN #: 81-0361047

Name of Plan: NCAT 457(b) Plan  
Number of Plans: 1  
Number of Employees in Plan: 1

Sincerely,

*Marcia Brown*

Marcia Brown  
Plan Administrator  
National Center for Appropriate Technology

NCAT Regional Offices:

36355 Russell Blvd ~ Davis, CA 95617 • 206 6th Avenue, Ste. 1101 ~ Des Moines, IA 50309 • 2548 Livingston Road ~ Jackson, Mississippi 39213  
207 West Center St. ~ Fayetteville, AR 72701 • 118 Broadway, Ste. 524 ~ San Antonio, TX 78205 • 900 Rutter Ave., Ste. 16 ~ Forty Fort, PA 18704



From: (406) 494-4572  
Marcia Brown  
NCAT  
3040 CONTINENTAL DR  
  
BUTTE, MT 59701

Origin ID: BTMA



Ship Date: 30SEP13  
ActWgt: 1.0 LB  
CAD: 1015486/INET3430

Delivery Address Bar Code



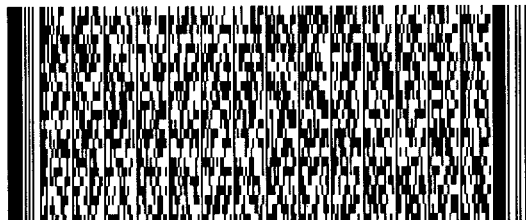
**SHIP TO:** (406) 494-4572  
**BILL SENDER**  
**Employee Benefits Security Admin**  
**Top Hat Plan Exemption**  
**200 CONSTITUTION AVE NW**

Ref # 022-005  
Invoice #  
PO #  
Dept #

**WASHINGTON, DC 20210**

**TUE - 01 OCT 10:30A**  
**PRIORITY OVERNIGHT**

TRK# 7968 0207 0474  
0201



**XC TSGA**

**20210**  
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