

2520130091847

mott corporation

84 Spring Lane, Farmington, CT 06032-3159
860-747-6333 Fax 860-747-8529
www.mottcorp.com



ISO 9001:2008 CERTIFIED

September 4, 2013

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20212

CERTIFIED MAIL/
RETURN RECEIPT REQUESTED

Re: Mott Corporation 2013 Phantom Stock Plan

Dear Sir or Madam:

In order to comply with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, and pursuant to Regulation Section 2520.104-23 of the Department of Labor, the undersigned hereby submits the following:

- (1) Name of Plan:
Mott Corporation 2013 Phantom Stock Plan (the "Plan")
- (2) Name and Address of Employer:
Mott Corporation
84 Spring Lane
Farmington, CT 06032-3159
- (3) Employer ID No.: 06-0741455
- (4) The Employer maintains the Plan primarily for the purpose of providing deferred compensation for a member of a select group of management or highly compensated employees.
- (5) Number of such plans maintained by the Employer or members of its controlled group: Three (3)
- (6) Number of employees participating in the Plan: One (1)

2013 SEP 10 PM 3:04
ELECTRONIC MAIL

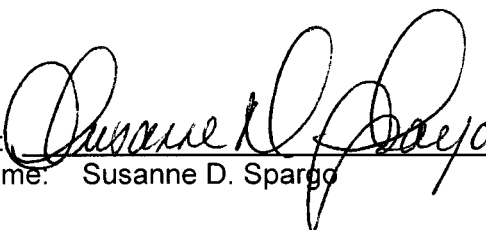
Top Hat Plan Exemption
Employee Benefits Security Administration
September 4, 2013
Page 2

The Employer also maintains the Mott Corporation Long Term Incentive Compensation Plan and the Mott Corporation Stock Appreciation Rights Plan for the benefit of the employees of the Employer.

The Employer undertakes to provide to the Secretary of Labor any documents relating to the Plan upon request.

Very truly yours,

MOTT CORPORATION

By: 
Name: Susanne D. Spargo

Its: Vice President, Human Resources & Facilities
Administration

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Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20212

CERTIFIED MAIL/
RETURN RECEIPT REQUESTED

Re: Mott Corporation Long Term Incentive Compensation Plan

Dear Sir or Madam:

In order to comply with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, and pursuant to Regulation Section 2520.104-23 of the Department of Labor, the undersigned hereby submits the following:

- (1) Name of Plan:
Mott Corporation Long Term Incentive Compensation Plan (the "Plan")
- (2) Name and Address of Employer:
Mott Corporation
84 Spring Lane
Farmington, CT 06032-3159
- (3) Employer ID No.: 06-0741455
- (4) The Employer maintains the Plan primarily for the purpose of providing deferred compensation for a member of a select group of management or highly compensated employees.
- (5) Number of such plans maintained by the Employer or members of its controlled group: Three (3)
- (6) Number of employees participating in the Plan: Fourteen (14)

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EBSA/PUBLIC/REG-104-23

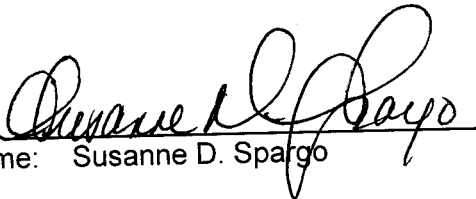
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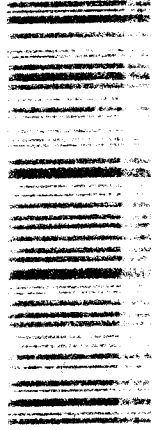
MOTT CORPORATION

By: 
Name: Susanne D. Spargo

Its: Vice President, Human Resources & Facilities
Administration

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Phone: 860-747-6333 Fax: 860-747-6739
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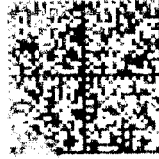
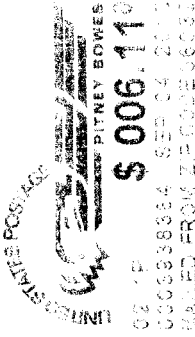
Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, DC 20012

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CERTIFICATE



202100001 0003 3513 0425



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