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HAVEN BEHAVIORAL HEALTHCARE, INC.

ERISA/FOIA/US PRIVACY  
2013 AUG 14 PM 12:38

August 7, 2013

**VIA CERTIFIED MAIL**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210-0002

Re: Haven Behavioral Healthcare, Inc.  
Deferred Compensation Plan ("Plan")

Dear Sir or Madam:

The purpose of this letter is to provide the one time statement authorized under 29 C.F.R. § 2520.104-23, for the alternative form of compliance with the reporting and disclosure requirements of Part I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA") for an executive with a deferred compensation agreement. In accordance therewith, the following information is provided:

1. The name and address of Haven Behavioral Healthcare, Inc., the employer in connection with the Plan, is:  

Haven Behavioral Healthcare, Inc.  
652 West Iris Drive  
Nashville, TN 37204
2. The employer identification number of Haven Behavioral Healthcare, Inc. :  

20-4543642
3. The Plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. The Plan is intended to be exempt from the provisions of Parts 2, 3 and 4 of Title I of ERISA. The Plan is intended to be non-qualified, unfunded provisions of deferred compensation for tax purposes and for purposes of Title I of ERISA;
4. Haven Behavioral Healthcare, Inc., does not maintain any other deferred compensation arrangement; and

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5. The effective date of the Plan is May 25, 2013. As of May 25, 2013, the number of employees of Haven Behavioral Healthcare, Inc., covered by the Plan is one (1).

If additional information is needed with respect to the Plan referenced above, or to perfect the alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title I of ERISA, please contact Ms. Janie Pickle, VP/Controller, via email at [janie.pickle@havenbehavioral.com](mailto:janie.pickle@havenbehavioral.com) or phone at (615) 250-9064.

Sincerely,



Kirk McConnell,  
SVP/General Counsel & Secretary

cc: Janie Pickle  
Curtis Fisher, Esq.

