

TOP-HAT PLAN EXEMPTION STATEMENT<sup>1</sup>

Top-Hat Plan Exemption  
 EBSA  
 Room N-1513  
 U.S. Department of Labor  
 200 Constitution Ave., NW  
 Washington, D.C. 20210

2013 MAR -6 PM 4: 1

Employer Name: Seal Security, LLC

Address: 19 Fulton Street, New York, New York 10038

Employer EIN: 27-0054421

The Employer maintains a Plan (or Plans) primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans: 1

Number of Employees in Plan(s): 1

<sup>1</sup> To avoid an annual return (Form 5500) filing requirement, the employer must submit this statement to the DOL no later than 120 days after the plan becomes subject to Part 1 of Title 1 of ERISA. DOL Reg. §2520.104-23(b). A plan generally becomes subject to Part 1 of the Title 1 of ERISA on the later of the date of adoption or the effective date of the plan. See DOL Reg. §2520.104b-2(a)(3). Only one statement is required per employer maintaining the plan or plans.



320 West 57th Street  
New York, NY 10019

Top Hat Exemption

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Room N-15/B  
US Dept. of Labor

200 Constitution Ave., NW

WASHINGTON, DC 20540