



American Academy of Periodontology

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July 11, 2013

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, DC 20210

Dear Department of Labor:

Recently we were contracted for a compliance check about the American Academy of Periodontology's 457(b) plan. Our FEIN is 36-3541073. They indicated that there was no Notice Letter for Top Hat Exemption on file with the Department of Labor for our plan.

I am enclosing a copy of our letter that was sent to the DOL upon the creation of the plan so that our records can be updated. It was apparently never received by your office.

A copy of this was also sent to the Internal Revenue Plans Compliance Unit.

Please contact me if you have any questions. Thank you for your assistance in this matter.

Sincerely,

A handwritten signature in cursive script, appearing to read 'Don Morin'.

Don Morin
Chief Financial Officer

2013 JUL 18 PM 1:11
EBSA/PUBLIC AFFAIRS

U.S. Department of Labor
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200 Constitution Ave. N.W., N-1513
Washington, DC 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the employer is: The American Academy of Periodontology

The employer's mailing address is: 737 N. Michigan Avenue, Chicago, IL 60611

The employer's federal identification number (EIN) is: 36-3541073

The plans of employer and the number of participants covered in each plan is:
The American Academy of Periodontology 457 Savings Plan

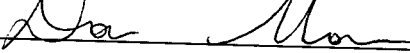
Number of Participants: 6

JANUARY 1, 2009

(Specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: The American Academy of Periodontology

By: 

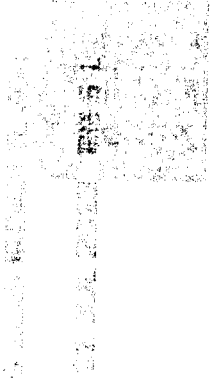
Date: 12/12/2008



American Academy of Pediatrics

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