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June 26, 2013

Of Counsel
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Special Counsel
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CERTIFIED MAIL/RECEIPT REQUESTED

U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, NW, N-1513
Washington, DC 20210

Re: ***Top-Hat Plan Filing for Dominican University of California 457(b) Deferred Compensation Plan***

ERISA/PUBLIC PLAN
2013 JUL -8 PM 4: 1

To Whom It May Concern:

Pursuant to Department of Labor Regulation Section 2520.104-23, please find below a statement concerning an unfunded arrangement maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees:

Employer's Name: Dominican University of California
Employer's Address: 50 Acacia Avenue
San Rafael CA 94901
Employer's EIN: 94-1156525
Number of Such Plans Maintained by the Employer: 1

Name of Plan:	Number of Participants	Date Plan Became Subject to Title I of ERISA:
Dominican University of California 457(b) Deferred Compensation Plan	17	July 1, 2003

The plan described above is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. A copy of the plan is available upon request.

U.S. Department of Labor
June 26, 2013
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Please contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Matt Gouaux", written in a cursive style.

Matthew L. Gouaux



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A PROFESSIONAL CORPORATION
ERISA AND EMPLOYEE BENEFITS ATTORNEYS

One Embarcadero Center, 12th Floor
San Francisco, California 94111-3628

7010 1870 0001 5559 3497

RETURN RECEIPT REQUESTED

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Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, NW, N-1513
Washington, DC 20210

