

**PLANET
FORWARD**



June 20, 2013

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

2013 JUL -3 PM 2:11
EBSA/P/REG/JC/011

Dear Sir or Madam:

The purpose of this letter is to provide alternative single filing compliance with reporting and disclosure requirements regarding Nonqualified Top Hat Plans under Part I of Title I of ERISA. This filing is intended to comply with Department of Labor Regulations Section 2520.104-23:

- A. Name and Address of Employer:
Planet Equity Group, LLC
800 Hillgrove Ave. Ste 105
Western Springs, IL 60558
- B. Employer Identification Number: **46-1996256**
- C. Planet Equity Group, LLC maintains the following plans for a select group of management or highly compensated employees:
 - 1. Planet Equity Group, LLC Nonqualified Defined Contribution Plan
Number of Participants: 8

In accordance with Section 104(a)(1) of ERISA, the Employer will provide Plan documents to the Secretary of Labor upon request.

Very truly yours,

Planet Equity Group, LLC

Timothy J. Bauwnens
Chief Financial Officer

Planet Equity Group LLC

800 HILLGROVE AVENUE • SUITE 105 • WESTERN SPRINGS • ILLINOIS • 60558

FORWARD



TOP HAT PLAN EXEMPTION
PENSION & WELFARE BENEFIT ADMIN
ROOM N-5644
US DEPT OF LABOR
200 CONSTITUTION AVE., N.W.
WASHINGTON, D.C. 20210

20210