

2520130091324

STATEMENT PURSUANT TO  
29 CFR SEC. 2520.104-23

Employer: Utica First Insurance Company  
(formerly Utica Fire Insurance Company  
of Oneida County, N.Y.)

Address: 5981 Airport Road  
Oriskany, New York 13424

P.O. Box 851  
Utica, New York 13503

Employer Identification Number: 15-0476540

The employer maintains three (3) Supplemental Income Plans (the "Plans") primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. Each plan covers one (1) employee, as follows:

Plan 1 adopted August 15, 1990, covers one employee, Frederick E. Bangs. Statement Pursuant to 29 CFR SEC. 2520.104-23 was filed with the Department of Labor under the name Utica Fire Insurance Company of Oneida County, N.Y.

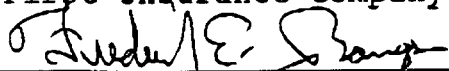
Plan 2 adopted March 3, 1997, covers one employee, Richard J. Zick.

Plan 3 adopted March 3, 1997, covers one employee, George R. Busch.

The Plans are the only such plans maintained by the employer.

March 24, 1997

Utica First Insurance Company

By:   
Frederick E. Bangs,  
Chairman and CEO

FAX  
(202) 501 4098

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Please deliver the following to:

NAME: TOP HAT PLAN EXEMPTION  
ATTN: RAMONA

FROM: DON P. MURNANE

DATE: 4/22/97

RE: UTICA FIRST INSURANCE COMPANY  
EL No 15-0476540

COMMENTS: ENCLOSED IS COPY OF MY LETTER 3/25/97  
AND STATEMENT 3/24/97 REGARDING  
ABOVE PLANS PER OUR TELEPHONE CONVERSATION  
WE HAVE NOT RECEIVED THE GREEN CARD FOR THE CASHIER  
MAIL,

TOTAL NUMBER OF PAGES INCLUDING THIS COVER SHEET: 3

PLEASE CALL (315) 733-4671 IF YOU DO NOT RECEIVE ALL THE PAGES OR IF THE CONNECTION IS INTERRUPTED.

**ROSSI, MURNANE, BALZANO & HUGHES**

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March 25, 1997

TOP HAT PLAN EXEMPTION  
PENSION AND WELFARE BENEFITS ADMINISTRATION  
ROOM N-5638  
U.S. DEPARTMENT OF LABOR  
200 CONSTITUTION AVENUE N.W.  
WASHINGTON, D.C. 20210**Re: Utica First Insurance Company  
P.O. Box 851  
Utica, New York 13503  
Supplemental Income Plans  
EI No. 15-0476540**

Dear Sir:

We are the attorneys for the above employer. This employer maintains three (3) separate plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, each of which plans covers one (1) employee.

We enclose herewith the Statement under 29 CFR SEC. 2520.104-23 on behalf of this employer covering these plans.

If you have any further requirements with respect to this filing, please contact the undersigned.

Very truly yours,

ROSSI, MURNANE, BALZANO &amp; HUGHES

By: 

Don P. Murnane, Esq.

DPM:lm  
Enclosures  
Certified Return Receipt